



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/087,235	02/28/2002	Mike X. Ouyang	CRNG.026

CONFIRMATION NO. 6961

FORMALITIES LETTER



OC000000007730530

VOLENTINE FRANCO, PLLC
SUITE 150
12200 SUNRISE VALLEY DRIVE
RESTON, VA 20191

COPY OF PAPERS
ORIGINALLY FILED

Date Mailed: 03/27/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$270.
 - \$270 for 15 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1140.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

213

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

MT / \$

Docket No.
CRNG.026 23

In Re Application Of: **Mike X. Ouyang et al.**

Serial No.
10/087,235

Filing Date
2/28/2002

Examiner

Group Art Unit
2874

OPEN
JUL 30 2002
PATENT & TRADEMARK OFFICE

INVENTION: **THIN FILM COATING PROCESS AND THIN FILM COATED OPTICAL COMPONENTS**

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
3/27/2002
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Combined Certificate Under Rule 3.73(b)

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
CRNG.026

In Re Application Of: **Mike X. Ouyang et al.**

Serial No.
10/087,235

Filing Date
2/28/2002

Examiner

Group Art Unit
2874

Invention: **THIN FILM COATING PROCESS AND THIN FILM COATED OPTICAL COMPONENTS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

- | | |
|---------------------------------------------------------------------------------------------------------------------------------|-----------------------------|
| <input checked="" type="checkbox"/> Utility application filing fee | <u>\$740.00</u> |
| <input type="checkbox"/> Design application filing fee | <u> </u> |
| <input checked="" type="checkbox"/> Total number of independent claims = <u>3</u> | <u> </u> |
| <input checked="" type="checkbox"/> Total number of claims = <u>35</u> | <u>\$270.00</u> |
| <input type="checkbox"/> Multiple dependent claims | <u> </u> |
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | <u>\$130.00</u> |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor | <u> </u> |
| <input type="checkbox"/> Fee for processing an application filed with a non-English language specification | <u> </u> |
| <input type="checkbox"/> Fee for processing and retention of application | <u> </u> |

Total completion of application fees \$1,140.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☒ Two months ☐ Three months ☐ Four months ☐ Five months

from: 5/27/02 until: 7/27/02
Date Date

Total time extension fees \$400.00

Total fees due \$1,540.00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
CRNG.026

In Re Application Of: **Mike X. Ouyang et al.**

Serial No.
10/087,235

Filing Date
2/28/2002

Examiner

Group Art Unit
2874

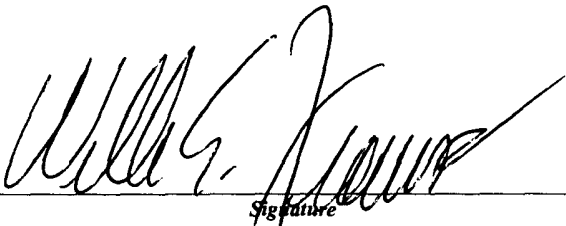
Invention: **THIN FILM COATING PROCESS AND THIN FILM COATED OPTICAL COMPONENTS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$1,540.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0238**
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0238**
A duplicate copy of this sheet is enclosed.


Signature

Dated: **July 23, 2002**

William S. Francos, Esquire (Reg. No. 38,456)
VOLENTINE FRANCOS, P.L.L.C.
12200 Sunrise Valley Drive
Suite 150
Reston, VA 20191
(703) 715-0870

I certify that this document and fee is being deposited
on **July 23, 2002** with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.


Signature of Person Mailing Correspondence

Michelle Welgoss

Typed or Printed Name of Person Mailing Correspondence

CC:

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): Mike X. Ouyang et al.

Docket No.

CRNG.026

Serial No.

10/087,235

Filing Date

2/28/2002

Examiner

Group Art Unit

2874

Invention: THIN FILM COATING PROCESS AND THIN FILM COATED OPTICAL COMPONENTS

COPY OF PAPERS
ORIGINALLY FILEDI hereby certify that this Supplemental Proposed Drawing Change

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: the

Assistant Commissioner for Patents, Washington, D.C. 20231 on

July 23, 2002

(Date)

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TC 2800 MAIL ROOM

Michelle Welgoss

(Typed or Printed Name of Person Mailing Correspondence)

A handwritten signature in cursive script that reads "Michelle Welgoss".

(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

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V.F. Ref.: CRNG.026

2874

61 Draftmans Letter
E. Usillis
10-7-02



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Michael X. Ouyang, et al.

Group Art Unit: 2874

Serial No. 10/087,235

Examiner: Unknown

Filed: February 28, 2002

For: Thin Film Coating Process and Thin Film Optical Components

RECEIVED
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Honorable Assistant Commissioner of Patents
Washington, D.C. 20231

Date: July 23, 2002

SUPPLEMENTAL PROPOSED DRAWING CHANGE

Sir:

The following supplemental proposed change to Fig. 1b is respectfully submitted for consideration in connection the above referenced application.

In the Drawings

Please amend Fig. 1b in accordance with the handwritten correction in red ink.